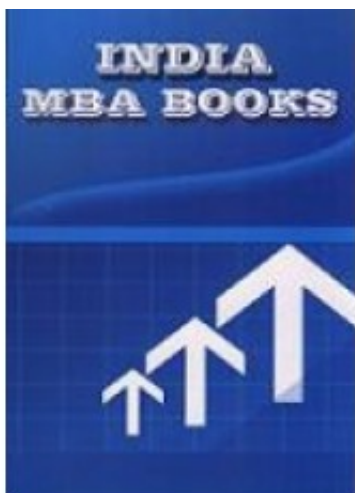


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F. N 5.6 FINANCIAL MARKETS & SERVICES

OBJECTIVE

The objective is to familiarize the students with Traditional and Modern Financial

Services.

Unit 1: FINANCIAL MARKETSS

Primary Market - Meaning – Features - Players of Primary Market – Instruments in Primary Market (Names)

– Procedure for issuing Equity shares and Debentures - SEBI guidelines towards the issue of Equity Shares

and Debentures - Merits and Demerits of Primary Markets. Secondary Market – Meaning – Structure –

Functions – Trading and Settlement System of Stock Exchange Transactions - Players in the Stock Market

– Merits and Demerits of Stock Markets – Reforms in Stock Market – OTCEI and NSE – Origin – Function

– Merits – Demerits.

Unit 2: NON-BANKING FINANCIAL INTERMEDIARIES

Investment & Finance Companies - Merchant Banks - Hire Purchase Finance - Lease Finance - Housing

Finance - Venture Capital Funds and Factoring.

Unit 3: SEBI 10Hrs

Objectives of SEBI – Organization - Functions and Functioning of SEBI - Powers of SEBI - Role of SEBI

in marketing of Securities and Protection of Investor Interest.

Unit 4: MUTUAL FUNDS

Concept of Mutual Funds - Growth of Mutual Funds in India - Mutual Fund Schemes – Money Market

Mutual Funds – Private Sector Mutual Funds – Evaluation of the performance of Mutual Funds –

Functioning of Mutual Funds in India.

Unit 5: RECENT TRENDS IN FINANCIAL SERVICES

Personalized Banking – ATM – Tele-banking & E-banking - Credit & Debit Card - Customization of

Investment Portfolio - Financial Advisors.

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